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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

04/09/2004

OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT FOURTH FLOOR 1755 JEFFERSON DAVIS HIGHWAY ARLINGTON, VA 22202 EXAMINER

TREMBLAY, MARK STEPHEN

ART UNIT PAPER NUMBER

2876

DATE MAILED: 04/09/2004

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 09/536 620 | 03/28/2000 | Yuji Kasai | 0163-0756-2X | 5997 |

TITLE OF INVENTION: OPTICAL APPARATUS, OPTICAL APPARATUS ADJUSTMENT METHOD, AND STORAGE MEDIUM RECORDED WITH A PROCESSING PROGRAM THAT EXECUTES SAID ADJUSTMENT METHOD

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1330 | \$0 | \$1330 | 07/09/2004 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

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If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.

☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590

04/09/2004

OBLON SPIVAK MCCLELLAND MAIER & NEUSTADT FOURTH FLOOR 1755 JEFFERSON DAVIS HIGHWAY **ARLINGTON, VA 22202**

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

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I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name) (Signature

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| EXAM | INER | ART UN | IT | CLASS-SUBCLASS |] | |
| TREMBLAY, M | IARK STEPHEN | 2876 | | 356-138000 | | |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | names of agents O firm (hav agent) an | inting on the patent front page f up to 3 registered patent a R, alternatively, (2) the name ring as a member a registered d the names of up to 2 regis or agents. If no name is listerinted. | attorneys or 1 of a single attorney or 2 tered patent | |

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(D) DECIDENCE, (CITY and STATE OF COUNTRY)

| (A) NAME OF ASSIGNEE | (B) RESIDENCE. (CITT and STATE OR COONTRI) | | | | |
|---|--|------------------|---|------------------------|--|
| Please check the appropriate assignee category or categories (will not | he printed on the natent): | □ individual | ☐ corporation or other private group entity | government | |
| tas. The following fee(s) are enclosed: | 4b. Payment of Fee(s): | <u> </u> | - corporation of caller private group strate | _ go | |
| | • | af the fee(a) | is smallered | | |
| ☐ Issue Fee | A check in the amo | () | | | |
| ☐ Publication Fee | Payment by credit of | card. Form PTO- | 2038 is attached. | | |
| ☐ Advance Order - # of Copies | ☐ The Director is he Deposit Account Num | | by charge the required fee(s), or credit any (enclose an extra copy of this | overpayment, to form). | |
| Director for Patents is requested to apply the Issue Fee and Publicatio | n Fee (if any) or to re-apply | any previously p | aid issue fee to the application identified abo | ve. | |
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| NOTE; The Issue Fee and Publication Fee (if required) will not other than the applicant; a registered attorney or agent; or the a interest as shown by the records of the United States Patent and Trace | be accepted from anyone ssignee or other party in lemark Office. | | • | | |
| This collection of information is required by 37 CFR 1.311. The obtain or retain a benefit by the public which is to file (and by the application. Confidentiality is governed by 35 U.S.C. 122 and 37 Cle estimated to take 12 minutes to complete, including gathering, preparameter of application form to the USPTO. Time will vary deper case. Any comments on the amount of time you require to occup suggestions for reducing this burden, should be sent to the Chief Patent and Trademark Office, U.S. Department of Commer 22313-1450. DO NOT SEND FEES OR COMPLETED FORM SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1 | ding upon the individual omplete this form and/or Information Officer, U.S. are, Alexandria, Virginia S TO THIS ADDRESS. | | | | |
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| ARLINGTON, VA | | | 2876 | |
| | | | DATE MAILED: 04/09/200 | 4 |

Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.